

OIPE 4000 P

PTO/SB/21 (09-04)
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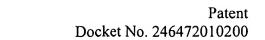
Under the Paperwork Reduction Act of 1995, no pu	ersons are required to res	U.S. Patent and Trademan spond to a collection of informat	rk Office; U.S. DEPARTMENT OF COMMERCE ion unless it displays a valid OMB control number.
		Application Number	10/589,899
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Filing Date	February 24, 2005
		First Named Inventor	Helmut D. LINK
		Art Unit	Not Yet Assigned
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Subm	ssion	Attorney Docket Numbe	246472010200
	NCLOSURES	(Check all that appl	<u>'</u> '''''''''''''''''''''''''''''''''''
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request X Information Disclosure Statement	Petition Petition to C Provisional	Application priney, Revocation priney, Revocation prinespondence Address sclaimer r Refund	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter X Other Enclosure(s) (please Identify below): Return Receipt Postcard PTO/SB/08a/b (1 page) English translation of IPRP (12 pages)
Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under	Remarks	cape Table on CD	
L 37 CFR 1.52 or 1.53			· · · · · · · · · · · · · · · · · · ·
F: M		ANT, ATTORNEY, OR	AGENT
Firm Name MORRISON & FO	ERSTER LLP		
Signature	•		
Printed name Barry E. Bretschne	eider		

Reg. No.

28,055

Date

December 15, 2006





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Helmut D. LINK et al.

Serial No.: 10/589,899

Filing Date: February 24, 2005

For: CERVICAL INTERVERTEBRAL DISC

PROSTHESIS INCLUDING ANTI-DISLOCATION DEVICE AND

INSTRUMENTS FOR

IMPLEMENTATION THEREOF (AS

AMENDED)

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the document listed on the attached Form PTO/SB/08a/b. A copy of the document is also submitted herewith. The Examiner is requested to make this document of record.

This Supplemental Information Disclosure Statement is submitted within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

U.S. Application No. 10/589,899



Atty. Docket No. 246472010200

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 246472010200.

Dated: December 15, 2006

Respectfully submitted,

Barry E. Bretschneider

Registration No.: 28,055

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		Complete if Known				
Substitute for form 1449/PTO				Application Number	10/589,899	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many she ets as necessary)			SCI OSURE	Filing Date	February 24, 2005	
				First Named Inventor	Helmut D. LINK	
			AI I LIOAIII	Art Unit	Not Yet Assigned	
			necess ary)	Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	246472010200	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ [¢]
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*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1-	English translation of IPRP directed to counterpart application no. PCT/EP2005/001956	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner D	Date
1=	Samuel de cond
Signature C	Considered

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.